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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-003 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control num 09/539,966 Application Number **TRANSMITTAL** March 31, 2000 Filed On **FORM** Stephen D. Mac **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit Mike Nguyen **Examiner Name** EMC2-044PUS 128 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer PTO 1449 Form w/ 8 references and **Express Abandonment Request** Retum-receipted postcard Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts In the event a petition for extension of time is required by this paper and not under 37 CFR 1.52 or 1.53 otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Richard M. Sharkansky 25.800 Firm Reg. No. Daly, Crowley & Mofford, LLP Individual name Signature January 28, 2003 Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: January 23, 2003 Tanya Bl unt Typed or printed name January 23, 2003 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-02) Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. C mplete if Known EE TRANSMITTAL 09/539,966 Application Number March 31, 2000 Filing Date for FY 2003 Stephen D. MacArthur First Named Inventor Patent fees are subject to annual revision. Mike Nguyen **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 2182 Art Unit 180 EMC2-044PÚŚ TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) Money 3. ADDITIONAL FEES Check Credit card Other None Large Entity , Small Entity Deposit Account: Fee Fee Fee **Fee Description** Fee Paid Code Deposit Code (\$) (\$) 50-0845 Account 2051 65 Surcharge - late filing fee or oath 130 1051 Number Surcharge - late provisional filing fee or 2052 25 Deposit 1052 50 Daly, Crowley & Mofford, LLP Account cover sheet Name Non-English specification 1053 130 1053 130 The Commissioner is authorized to: (check all that apply) For filing a request for ex parte reexamination 1812 2.520 1812 2,520 Charge fee(s) indicated below Credit any overpayments Requesting publication of SIR prior to 1804 920* 1804 920° Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after 1805 1.840 1805 1,840* Examiner action to the above-identified deposit account. Extension for reply within first month 110 2251 1251 55 **FEE CALCULATION** Extension for reply within second month 2252 205 1252 410 1. BASIC FILING FEE 2253 Extension for reply within third month 1253 930 465 arge Entity **Small Entity** Fee Paid Fee Fee Code (\$) Fee Description 1254 1,450 2254 725 Extension for reply within fourth month Code Extension for reply within fifth month 1255 1,970 2255 985 2001 375 Utility filing fee 1001 750 320 2401 160 Notice of Appeal 1401 2002 165 Design filing fee 1002 330 Filing a brief in support of an appeal 1402 320 2402 160 1003 520 2003 260 Plant filing fee Request for oral hearing 1403 280 2403 140 2004 375 Reissue filing fee 1004 750 Petition to institute a public use proceeding 1451 1.510 1451 1.510 Provisional filing fee 2005 80 1005 160 Petition to revive - unavoidable 110 2452 55 1452 SUBTOTAL (1) (\$) 0 1453 1,300 2453 650 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,300 2501 650 Utility issue fee (or reissue) Fee from Extra Claims Fee Paid 2502 235 Design issue fee 1502 470 below 0 18 **Total Claims** 0 Х -20** = 1503 630 2503 315 Plant issue fee Independent 84 0 3** = 1460 130 1460 Petitions to the Commissioner Multiple Dependent Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 180 Large Entity Submission of Information Disclosure Stmt **Small Entity** 1806 180 1806 180 Fee Description Fee Recording each patent assignment per 8021 Code (\$) Code (\$) 8021 40 property (times number of properties) Claims in excess of 20 1202 18 2202 9 Filing a submission after final rejection 370 1809 740 2809 Independent claims in excess of 3 (37 ČFR 1.129(a)) 1201 84 2201 42 For each additional invention to be 1203 280 2203 140 Multiple dependent claim, if not paid 1810 740 2810 370 examined (37 CFR 1.129(b)) ** Reissue independent claims 1204 84 2204 42 Request for Continued Examination (RCE) over original patent 1801 750 2801 375 Request for expedited examination ** Reissue claims in excess of 20 1802 900 1802 1205 18 2205 and over original patent of a design application Other fee (specify) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid (\$) 180 SUBTOTAL (3) **or number previously paid, if greater; For Reissues, see above (Complete (if applicable) SUBMITTED BY

SUBMITTED BY

Name (Print/Type)

Richard M. Sharkansky

Registration No. (Attorney/Agent)

Signature

(Complete (if applicable)

Telephone 781.401.9988 ext. 23

Date 1/23/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Stephen D. MacArthur

Application No.:

09/539,966

Filed:

March 31, 2000

Entitled:

Data Storage System Having Separate Data Transfer Section And Message Network With Plural Directors On A Common Printed

Circuit Board

Docket No.:

EMC2-044PUS

Group Art Unit: 2182

Examiner: Mike Nguyen

Certificate of Mailing (37 C.F.R. 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date of Signature and Mail Deposit

By: Janua Blount
Tanya Blount

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

01/28/2003 AUSMAN1 00000012 09539966

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180.00 OP

Application No.: 09/539,966

Filed: March 31, 2000

Attorney Docket No.: EMC2-044PUS

[] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

[X] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent

Application No.: 09/539,966

Filed: March 31, 2000

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office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (5) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (6) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the

Application No.: 09/539,966

Filed: March 31, 2000

Attorney Docket No.: EMC2-044PUS

accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

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